# Chapter 2

- Defn. A language is a set of <u>strings</u> over an <u>alphabet</u>.
  - A more restricted definition requires some forms of restrictions on the strings, i.e., strings that satisfy certain properties
- Defn. The <u>syntax</u> of a language restricts the set of strings that satisfy certain *properties*.

- Defn. A <u>string</u> over an alphabet X, denoted Σ, is a <u>finite sequence</u> of elements from X, which are <u>indivisible objects</u>
  - e.g., Strings can be words in English
  - The set of strings over an alphabet is defined recursively (as given below)

- Defn. 2.1.1. Let  $\Sigma$  be an alphabet.  $\Sigma^*$ , the set of strings over  $\Sigma$ , is defined *recursively* as follows:
  - (i) Basis:  $\lambda \in \Sigma^*$ , the null string
  - (ii) Recursion:  $w \in \Sigma^*$ ,  $a \in \Sigma \Rightarrow wa \in \Sigma^*$
  - (iii) Closure:  $w \in \Sigma^*$  is obtained by step (i) and a finite # of step (ii)
    - The length of a string w is denoted length(w)
- Q: If  $\Sigma$  contains n elements, how many possible strings over  $\Sigma$  are of length k ( $\in \Sigma$ \*)?

- Example: Given  $\Sigma = \{a, b\}$ ,  $\Sigma^*$  includes  $\lambda$ , a, b, aa, ab, ba, bb, aaa, ...
- Defn 2.1.2. A language over an alphabet  $\Sigma$  is a subset of  $\Sigma^*$ .
- Defn 2.1.3. Concatenation, is the fundamental binary operation in the generation of strings, which is associative, but not commutative, is defined as
  - Basis: If length(v) = 0, then  $v = \lambda$  and uv = u
  - Recursion: Let v be a string with length(v) = n (> 0). Then v = wa, for string w with length n-1 and  $a \in \Sigma$ , and uv = (uw)a

- **Example**: Let  $\alpha = ab$ ,  $\beta = cd$ , and  $\gamma = e$ 
  - $> \alpha(\beta \gamma) = (\alpha \beta) \gamma, \text{ but }$
  - $\Rightarrow \alpha\beta \neq \beta\alpha$ , unless  $\alpha = \lambda$ ,  $\beta = \lambda$ , or  $\alpha = \beta$ .
- Exponents are used to abbreviate the *concatenation* of a string with itself, denoted  $u^n$  ( $n \ge 0$ )
- Defn 2.1.5. Reversal, which is a unary operation, rewrites a string backward, is defined as
  - i) Basis: If length(u) = 0, then  $u = \lambda$  and  $\lambda^R = \lambda$ .
  - ii) Recursion: If length(u) = n (> 0), then u = wa for some string w with length n 1 and some  $a \in \Sigma$ , and  $u^R = aw^R$
- Theorem 2.1.6. let  $u, v \in \Sigma^*$ . Then,  $(uv)^R = v^R u^R$ .

- Finite language specification
  - Example 2.2.1. The language L of string over {a, b} in which each string begins with an 'a' and has even length.
    - i) Basis: aa,  $ab \in L$ .
    - ii) Recursion: If  $u \in L$ , then uaa, uab, uba, ubb  $\in L$ .
    - iii) Closure:  $u \in L$  only if u is obtained from the basis elements by a finite number of applications of the recursive step.
- Use set operations to construct complex sets of strings.
  - Defn 2.2.1. The concatenation of languages X and Y, denoted XY, is the language

$$XY = \{ uv \mid u \in X \text{ and } v \in Y \}$$

Given a set X, X\* denotes the set of strings that can be defined with ● and ○

Defn 2.2.2. let X be a set. Then

$$X^* = \bigcup_{i=0}^{\infty} X^i$$
 and  $X^+ = \bigcup_{i=1}^{\infty} X^i$ 

> 
$$X^+ = XX^*$$
 or  $X^+ = X^* - \{ \lambda \}$ 

Observation: Formal (i) recursive definitions, (ii) concatenation, and (iii) set operations precisely define languages, which require the unambiguous specification of the strings that belong to the language.

### Regular Sets and Expressions

- Defn 2.3.1 Let  $\Sigma$  be an alphabet. The <u>regular sets</u> over  $\Sigma$  are defined recursively as follows:
  - (i) Basis:  $\emptyset$ , {  $\lambda$  }, and { a },  $\forall_{a \in \Sigma}$ , are regular sets over  $\Sigma$ .
  - (ii) Recursion: Let X and Y be regular sets over  $\Sigma$ . The sets  $X \cup Y$ , XY and X\* are regular sets over  $\Sigma$ .
  - (iii) Closure: Any regular set over  $\Sigma$  is obtained from (i) and by a finite number of applications of (ii).
- Example: Describe the content of each of the following regular sets:
  - (i) {  $aa \}^*$ , (ii) {  $a \}^* \cup \{ b \}^*$ , (iii) ({ $a \} \cup \{ b \}$ )\*, (iv) {  $a \} (\{ b \} \{ c \})^*$
  - Regular expressions are used to abbreviate the descriptions of regular sets, e.g., replacing { b } by b, union (∪) by (,), etc.

#### Examples.

(a) The set of strings over { a, b } that contains the substrings aa or bb

$$L = \{\{a\} \cup \{b\}\}^* \{a\} \{\{a\} \cup \{b\}\}^* \cup \{\{a\} \cup \{b\}\}^* \{b\} \{\{a\} \cup \{b\}\}^*\}$$

(b) The set of string over { a, b } that do not contain the substrings aa and bb

$$L = (a, b)^* - ((a, b)^*aa(a, b)^* \cup (a, b)^*bb(a, b)^*)$$
 [non-regular set]

(c) The set of strings over {a, b} that contain exactly two b's

$$L = \{a\}^*\{b\}\{a\}^*\{b\}\{a\}^*$$



#### Regular Sets and Expressions

- Defn 2.3.2. let  $\Sigma$  be an alphabet. The <u>regular expressions</u> over  $\Sigma$  are defined recursively as follows:
  - (i) Basis:  $\emptyset$ ,  $\lambda$ , and a,  $\forall a \in \Sigma$ , are regular expressions over  $\Sigma$ .
  - (ii) Recursion: Let u and v be regular expressions over  $\Sigma$ . Then (u, v), (uv) and  $(u)^*$  are regular expressions over  $\Sigma$ .
  - (iii) Closure: Any regular expression over  $\Sigma$  is obtained form (i) and by a finite number of applications of (ii).
  - It is assumed that the following <u>precedence</u> is assigned to the operators to reduce the number of parentheses:

### Regular Sets and Expressions

- Example: Give a regular expression for each of the following over the alphabet { 0, 1 }:
  - { w | w begins with a '1' and ends with a '0' }
  - { w | w contains at least three 1's}
  - { w | w is any string without the substring '11' }
  - \ \{ w | w \text{ is a string that begin with a '1' and contain exactly two 0's \}
  - { w | w contains an even number of 0's, or contains exactly two 1's and nothing else }
- Regular expression definition of a language is not unique.

#### Regular Expression Identities

#### **TABLE 2.1 Regular Expression Identities** 1. $\phi U = U \phi = \phi$ 2. $\lambda u = u\lambda = u$ 3. $\phi^* = \lambda$ 4. $\lambda^* = \lambda$ 5. $u \cup v = v \cup u$ $U \cup \phi = U$ 7. $u \cup u = u$ 8. $U^* = (U^*)^*$ 9. $u (v \cup w) = uv \cup uw$ 10. $(u \cup v) w = uw \cup vw$ 11. $(uv)^*u = u(vu)^*$ 12. $(u \cup v)^* = (u^* \cup v)^*$ $= u^* (u \cup v)^* = (u \cup vu^*)^*$ $= (U^*V^*)^* = U^* (VU^*)^*$ $= (U^*V)^* U^*$

### **Regular Expressions**

- There exist non-regular expressions such as
  - $\Rightarrow$  { $a^nb^n \mid n \ge 0$ }
  - $> \{(0, 1)^*(01)^n(0, 1)^*(10)^n(0, 1)^*1 \mid n \ge 0\}$
- Table 2.1 Regular Expression Identities
  - $\phi^* = \lambda$ ; The \* operation puts together any number of strings from the language to get a (new) string in the result. If the language is empty, the \* operation can put together 0 strings, giving only the null string ( $\lambda$ ).
  - $\Rightarrow \phi u = u \phi = \phi$ ; Concatenating  $\phi$  to any set yields  $\phi$ .
- $(a, \lambda)(b, \lambda) = {\lambda, a, b, ab}$ . How about  $c^*(b, ac^*)^*$ ?
  - The regular expression c\*(b, ac\*)\* yields all strings that do not contain the substring bc.

#### **Grammars Languages and Accepting Machines**

Grammars	Languages	<b>Accepting Machines</b>
Type 0 grammars,	Recursively	TM
Phrase-structure grammars,	enumerable	NDTM
Unrestricted grammars	Unrestricted	
Type 1 grammars,	Contest-sensitive	e Linear-bounded
Context-sensitive grammars,	languages	Automata
Monotonic grammars		
Type 2 grammars,	Context-free	PDA
Context-free grammars	languages	
Type 3 grammars,	Regular	FSA
Regular grammars,	languages	NDFA
Left-linear grammars,		
Right-linear grammars		15